

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David L. Adler

Serial No. 10/702,156

Filed:

November 5, 2003

Title: Multi-Pixel and Multi-Column

Electron Emission Inspector

Examiner: not yet known

Art Unit: 2881

Atty. Dkt. No.: 10011.001210 (P0980)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement. OR
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR □ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
	AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

David L. Adler

Dated: February 13, 2004

By:

James K. Okamoto

Attorney For Applicant(s)

Reg. No. 40,110

OKAMOTO & BENEDICTO LLP

P.O. Box 641330

San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

CERTIFICATE OF MAILING				
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Signature: Que				
Typed or Printed Name: James K. Okamoto	Dated:	February 13,2004		
Express Mail Mailing Number (optional):				

10/702,156

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

TRANSMITTAL			Filing	Date	Novemb	er 5, 2003
FORM			First N	lamed Inventor	David L.	Adler
(to be used for all correspondence after initial filing)			Art Ur	it	2881	
			Exam	ner Name	not yet k	nown
Total Number of Page	s in This Submission	6 **	Attorn	ey Docket Number	10011.00	01210 (P0980)
		ENCLO	SURES	(check all that apply)		
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Amendment / Rep	ply	Petition	1		Appea (Appea	al Communication to Group al Notice, Brief, Reply Brief)
After Final			n to Conv onal App		Propri	etary Information
☐ Affidavits/dec	laration(s)			ey, Revocation espondence Address	Status	Letter .
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Response to Miss Incomplete Applic						
Response to Parts under 3 1.52 or 1.53						
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Firm James K. Okamoto, Reg No. 40,110 or OKAMOTO & BENEDICTO LLP			l			
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Date February 13, 2004						,
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Signature			Xcan		Date	February 13, 2004

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e for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	
Application Number	10/702,156	
Filing Date	Noember 5, 2003	
First Named Inventor	David L. Adler	_
Art Unit	2881	
Examiner Name	not yet known	
Attorney Docket Number	10011.001210 (P0980)	

			U.S. PATENT I	JOCUMENIS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	Α	US- 5,578,821	Nov. 26, 1996	Meisberger, et al.	
	В	US- 5,973,323	Oct. 26, 1999	Adler, et al.	
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	FOREIGN PATENT DOCUMENTS							
	Cita	Foreign Patent Document	Outlinedian	Name of Patentee or	Pages, Columns, Lines,			
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	. Т ⁶		
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Examiner Signature	 Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered: Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b(08-03)

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Substitute for form 1449B/PTO Complete if Known Application Number 10/702,156 INFORMATION DISCLOSURE Filing Date November 5, 2003 STATEMENT BY APPLICANT First Named Inventor David L. Adler Art Unit 2881 (Use as many sheets as necessary) Examiner Name not yet known Sheet of Attorney Docket Number 10011.001210 (P0980)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
<u>-</u>	С	Sky Scalable High Performance Computers by SKYComputers, webpage [online]. Sky Computers, Inc. 2002, [retrieved on 11/04/2002]. Retrieved from the internet: http://www.skycomputers.com/hardware/hpc.html .	
	D	TROMP, R.M, "Low-energy electron microscopy", IBM J.RES. Develop, July 2000, pages 503-516, Vol. 44, No. 4, International Business Machines Corporation.	
	E	CHANG, T.H. PHILIP, et al. "Multiple Electron-Beam Lithography", pages 1-26.	
	F	DIEBOLD, ALAIN, et al. "Current State of Defect Review by Electron Beam Tools: A White Paper", January 14, 2000, pages 1-12, Technology Transfer#00013877 A-Eng., SEMATECH.	
	G	TOBIN, KENNETH W. "Inspection in Semiconductor Manufacturing", pages 1-13 (K.W. Tobin submission for: V. Sankaran, C.M. Weber, K.W. Tobin "Inspection in Semiconductor Manufacturing", <u>Webster's Encyclopedia of Electrical and Electronic Engineering</u> , vol. 10, pp. 242-262, Wiley & Sons, NY, NY, 1999.	
	н	RACEway Interlink Functional Specification, November 8, 2000, pgs 1-104, Mercury Computer Systems, Inc., Chemsford, MA.	

(D-1-	
Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

^{&#}x27;Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.